

**Sustainability Bonds Annual Reporting**  
**For Fiscal Year Ended October 31, 2020 (Cash Basis)**

Amount of proceeds of the Series 2019A and Series 2019C Senior Bonds (the "SB Proceeds") deposited to  
Sustainability Bonds Program Fund at Issuance to fund Phase 1 Capital Plan Projects: \$ 89,650,000  
Sustainability Bonds Spent through October 31, 2020: \$ 21,594,638  
Sustainability Bonds Program Fund balance as of October 31, 2020: \$ 68,055,362

Project	Amount	Budget Re-allocation	Revised Amounts	Description	Social/Environmental Attributes	SB Proceeds Spent as of October 31, 2020	SB Proceeds Spent (%) as of October 31, 2020
SOUTH BATTERY PARK RESILIENCY PROJECT (Note 1)	\$ 32,200,000	\$ (1,350,000)	\$ 30,850,000	New perimeter storm barrier and flood protection systems, modified landscapes	Resiliency	\$ 8,165,553	26.47%
PILE REMEDIATION/SEAWALL RECONSTRUCTION	11,350,000		11,350,000	Pile repair and enhancement	Open Space	3,349,684	29.51%
NORTH BATTERY PARK RESILIENCY PROJECT (Note 1,2)	11,000,000	(1,500,000)	9,500,000	New perimeter storm barrier and flood protection systems	Resiliency	2,541,024	26.75%
WEST BATTERY PARK RESILIENCY (Note1,2)	8,000,000	(4,000,000)	4,000,000	New perimeter storm barrier and flood protection systems	Resiliency	0	0.00%
COMMUNITY CTR. LEAK RENOVATION	7,300,000		7,300,000	Waterproofing and remedial work	LEED building	3,374,023	46.22%
PIER A	3,500,000		3,500,000	Repair/restoration and general construction	LEED building	0	0.00%
SOUTH END AVE. STREETScape REDESIGN	2,800,000		2,800,000	Sidewalk widening, adding bicycle lanes and medians	Safe walking and cycling	0	0.00%
ROCKEFELLER PARK PLAYGROUND	2,400,000		2,400,000	Replacement/refurbishment of equipment, safety system installation, drainage system cleaning	Open space and recreation	1,566,333	65.26%
SOUTH COVE JETTY - REPLANKING	2,200,000		2,200,000	Replacement of Planking, cross bracing, and posts; lighting repairs; wrapping of piles	Open space	1,159,688	52.71%
ESPLANADE GRANITE WALL RESTORATION(Note 3)	1,750,000		1,750,000	Granite block mortar restoration	Open space	0	0.00%
UPPER ROOM	1,300,000		1,300,000	Repairs to public art	Open space	0	0.00%
ASPHALT GRANITE REPAIR (Pavers) (Note 3)	1,100,000		1,100,000	Pavement and stone repair and restoration	Safe walking and cycling	0	0.00%
BALLFIELD RESILIENCY (Note 1)	1,000,000	6,850,000	7,850,000	New deployable floodwall, utility work, landscaping, sidewalk modifications	Resiliency	713,407	9.09%
WEST THAMES BRIDGE	800,000		800,000	New bridge replacing existing Rector Street Bridge	Safe walking and cycling	-	0.00%
STREET LIGHTS	750,000		750,000	Installation of LED street lights and wireless cellular technology in street light poles	Energy efficiency	-	0.00%
EMPIRE TRAIL GATEWAY MARKER	600,000		600,000	New gateway marker at south end of Battery Park City	Safe walking and cycling	19,453	3.24%
PS-89 PLAYGROUND	600,000		600,000	Drainage repairs, re-landscaping, additional paving	Open space and recreation	585,636	97.61%
INTERIM FLOOD MEASURES	500,000		500,000	New deployable barriers	Resiliency	-	0.00%
KOWSKY PLAZA PLAYGROUND PLAY SURFACE	500,000		500,000	Installation of new safety surfaces, reproofing of water features	Open space and recreation	119,838	23.97%
	\$ 89,650,000	\$ -	\$ 89,650,000			\$ 21,594,638	24.09%

Notes

- 1 - Re-allocation of current bond proceeds from Other resiliency projects. These funds will be replenished in the next bond offering.
- 2 - The North and West Resiliency Projects are being implemented as a single project and are to be reclassified in the next Capital Plan.
- 3 - Asphalt Granite Repair and Esplanade Granite Wall Restoration will become North and South Esplanade Granite Restoration in Fiscal Year 2021.