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Contracting Opportunity

Title: Bond Underwriters
Agency: Hugh L. Carey Battery Park City Authority
Division: Public Information
Contract Number: TBD
Contract Term: 2 years with option to extend for additional year
Date of Issue: 07/10/2018
Due Date/Time: 08/07/2018 3:00 PM
N/A
County(ies): All NYS counties
Location: Battery Park City Authority d/b/a Hugh L. Carey Battery Park City Authority, 200
Liberty Street, 24th Floor, New York, NY
Classification: Financial - *Consulting & Other Services*
Opportunity Type: General
Entered By: Maria Ellison
Description: **Description of Goods or Services to Be Bid**

Battery Park City Authority d/b/a Hugh L. Carey Battery Park City Authority (“BPCA”) requests proposals (individually a “Proposal” and collectively the “Proposals”) from qualified firms, registered with FINRA and/or the SEC, to provide BPCA with Senior Managing Underwriter or Co-Managing Underwriter services in connection with the issuance(s) of revenue bonds by BPCA. It is intended that through this RFP, one or more firms that are qualified underwriters will be selected for inclusion on BPCA’s Qualified Underwriter List (“BQUL”). The proposed bonds shall be issued to accomplish objectives which shall include, but not be limited to: (1) the refinancing and/or restructuring of outstanding debt; (2) securing financing for a portion of BPCA’s Five-Year Capital Program – approximately \$80 million; (3) providing the most cost effective pricing and marketing of BPCA bonds; (4) maintaining its credit ratings and low cost of capital; and (5) matching debt and a hedging structure with asset life, with an efficient, flexible lien structure. A detailed scope of work for which the selected Proposer will be responsible is attached as Exhibit A (the “Work”).

Minority-Owned Business Enterprises (“MBE”), Women-Owned Business Enterprises (“WBE”) and Service-Disabled Veteran-Owned Business

Enterprises (“SDVOB”) are encouraged to submit Proposals.

Interested parties may download the complete request for proposals (“RFP”) via the link provided below or by visiting BPCA’s website at www.bpca.ny.gov and clicking on the “Opportunities” tab. All Proposals must meet the requirements listed in the RFP.

As stated in the RFP, firms interested in responding to the RFP must complete the Mandatory Forms Packet located on BPCA’s website at <http://bpca.ny.gov/wp-content/uploads/2015/03/Vendor-ResponsibilityQuestionnaire.pdf> . The completed forms must accompany the firm’s proposal.

Restricted Period

Proposers are restricted from making contact with anyone other than the Designated Contacts (identified below) during the period from the time of publication of this advertisement through approval of the procurement contract by BPCA (the “Restricted Period”). Employees of BPCA are required to record certain contacts during the Restricted Period, including, but not limited to, any oral, written or electronic communication with a governmental entity under circumstances where a reasonable person would infer that the communication was intended to influence the governmental entity’s conduct or decision regarding the governmental procurement, and to make a determination of responsibility based, in part, upon any such contact. Failure to abide by this process may result in a finding of non-responsibility.

Eligibility / Qualifications Requirements / Preferences

Minority-Owned Business Enterprises (“MBE”), Women-Owned Business Enterprises (“WBE”) and Service-Disabled Veteran-Owned Business Enterprises (“SDVOB”) are encouraged to submit Proposals. All Proposers must submit with their proposal a copy of its Equal Employment Opportunity or Diversity policy along with a breakdown of all company staff by job classification, race and gender. For questions on MBE/WBE/SDVOB participation, joint ventures and sub-contracting goals ONLY, please contact “Diversity Designated Contact”: Mr. Anthony Peterson at 212.417.2337.

MBE/WBE UTILIZATION GOAL REQUIREMENTS FOR BPCA CONTRACTS:
In accordance with Article 15-A of the New York State Executive Law and regulations adopted pursuant thereto, BPCA has established separate goals for participation of New York State Certified minority and women-owned business enterprises for all state contracts. BPCA is required to implement the provisions of Article 15-A and 5 NYCRR Part 143 for all state contracts (1) in excess of \$25,000 for labor, services, equipment, materials or any combination for the foregoing and (2) in excess of \$100,000 for real property renovations and construction. For purposes of this procurement, the Organization hereby establishes a goal of TBD% for Minority-owned Business

Enterprises (MBE) participation and TBD% for Women-owned Business Enterprises (WBE) participation. (We are happy to work with you to help you identify opportunities for M/WBE participation, joint ventures and sub-contracting). As a condition of this procurement, the Proposer and BPCA agree to be bound by the provisions of §316 of Article 15-A of the Executive Law regarding enforcement. Proposers must document “good faith efforts” to provide meaningful participation by certified M/WBE subcontractors or suppliers in the performance of the awarded contract. For guidance on how BPCA will determine a Proposer’s “good faith efforts,” refer to 5 NYCRR § 143.8. Additionally, Proposers must refer to Mandatory Forms of this document for a list of forms that must be provided in order to fully comply with Article 15-A of the New York State Executive Law and 5 NYCRR Part 143.

SDVOB UTILIZATION GOAL REQUIREMENTS FOR BPCA CONTRACTS: In accordance with Article 17-B of the New York State Executive Law and regulations adopted pursuant thereto, BPCA has established separate goals for participation of New York State Certified service-disabled veteran-owned business enterprises for all state contracts. BPCA is required to implement the provisions of Article 17-B and 9 NYCRR Part 252 for all state contracts (1) in excess of \$25,000 for labor, services, equipment, materials or any combination for the foregoing and (2) in excess of \$100,000 for real property renovations and construction. For purposes of this procurement, the Organization hereby establishes a goal of TBD% for Service-Disabled Veteran-Owned Business (SDVOB) participation. (We are happy to work with you to help you identify opportunities for SDVOB participation, joint ventures and sub-contracting). As a condition of this procurement, the Proposer and BPCA agree to be bound by the provisions of 9 NYCRR §252.2 regarding enforcement. Proposers must document “good faith efforts” to provide meaningful participation by certified SDVOB subcontractors or suppliers in the performance of the awarded contract. For guidance on how BPCA will determine a Proposer’s “good faith efforts,” refer to 9 NYCRR § 252.2(s).

BPCA reserves the right to cancel or withdraw in whole or in part this RFP at its sole discretion. Proposers will be notified in the event the RFP is cancelled via the BPCA website.

Service-Disabled Veteran-Owned Set Aside: No

Minority Owned Sub-Contracting Goal: 0%

Women Owned Sub-Contracting Goal: 0%

Service-Disabled Veteran-Owned Business Contracting Goal: 0%

Contact Information

Primary contact: Hugh L. Carey Battery Park City Authority
Public Information
Procurement
Michael LaMancusa
Assistant Contracting Officer
200 Liberty Street
24th Floor
New York, NY 10281
United States
Ph: 212-417-4335
Fax: 212-417-2001
michael.lamancusa@bpca.ny.gov

Submit to contact: Hugh L. Carey Battery Park City Authority
Public Information
Procurement
Michael LaMancusa
Assistant Contracting Officer
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24th Floor
New York, NY 10281
United States
Ph: 212-417-4335
Fax: 212-417-2001
michael.lamancusa@bpca.ny.gov

Supporting document shown below:

The following supporting documents are available for download:

Document title	Description	Type
Bond Underwriters	RFP	pdf

To download these documents, please visit the New York State Contract Reporter website: <http://www.nyscr.ny.gov>

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